

RIVKIN

Important Reading

Issue date: Mar 2024

From time to time, Rivkin will partner with other service providers in order to offer a complete set of products and services to our members. Here you will find important documents that apply to products supplied by third parties to Rivkin members. While they will be supplied to you at the time you open an account that relates to one of these third parties, we list them all here for completeness and transparency.

Saxo Capital Markets Legal Documentation

Rivkin has a commercial agreement with Saxo Capital Markets Australia (SCMA). Rivkin members who use our Rivkin Trader dealing platform will come into contact with SCMA products and services, in which case we suggest that you read and understand the important information available at

<https://www.home.saxo/en-gb/legal/legal-documentation/legal-documentation><http://au.saxomarkets.com/support/legal-documentation>.

Saxo Capital Markets Client Money Handling

One of the reasons Rivkin has partnered with SCMA is due to their commitment to handling client money. SCMA goes over and above their regulatory obligations with respect to client money handling by providing clients with a quarterly review conducted by a third-party auditor. We suggest those members using the Rivkin Trader platform read and understand the important information available at

<https://www.home.saxo/en-au/legal/client-money/saxo-client-money>

CMC Markets Stockbroking Legal Documentation

Rivkin has a commercial agreement with CMC Markets Stockbroking Limited (CMC MSL). Rivkin members who use our stockbroking platform will come into contact with CMC MSL's products and services, in which case we suggest that you read and understand the important information available at

<http://www.cmcmarkets.com.au/en/legal/stockbroking>.

CMC Markets CFD Trading Legal Documentation

Rivkin has a commercial agreement with CMC Markets Asia Pacific Pty Ltd (CMC APAC). Rivkin members who are introduced to CMC APAC will come into contact with CMC APAC's products and services, in which case we suggest that you read and understand the important information available at

<http://www.cmcmarkets.com.au/en/legal/cfds>.

Settlement Account, Macquarie Bank Cash Management Account

Rivkin has a commercial agreement with Macquarie Bank. Rivkin members who use our stockbroking platform may come into contact with Macquarie's products and services, in which case we suggest that you read and understand the important information available at

<https://www.macquarie.com.au/investing/cash-management-account.html>

Mainstream Fund Services Pty Limited, Separately Managed Accounts/ Unlisted Managed Funds

Rivkin has a commercial agreement with Mainstream Fund Services Pty Limited. Rivkin clients may come into contact with Mainstream's products and services, in which case we suggest that you read and understand the important information available at <https://www.mainstreamgroup.com/sma-2/> and/or

<https://www.mainstreamgroup.com/our-services-3/fund-administration-services/>

Belay Capital Private Equity

Rivkin has a commercial agreement with Belay Capital. Rivkin wholesale clients may come into contact with Belay's products and services, in which case we suggest that you read and understand the important information available at <https://www.belaycapital.com.au/>

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CONTACT

1300 748 546 (1300 RIVKIN)
www.rivkin.com.au



ADDRESS

Suite 8 "The Elan" 1 Kings Cross
Rushcutters Bay, NSW 2011